



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

**Complete if Known**

Application Number	10/827485
Filing Date	Apr 19, 2004
First Named Inventor	Makarand P. Gore
Art Unit	
Examiner Name	unassigned
Attorney Docket Number	200315628-1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/S.H./		US- 5,897,876	04-27-1999	Rudnic	
/S.H./		US- 6,004,580	12-21-1999	Backlund	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
/S.H./		EP 0 760 237	03-05-1997	CIPLA Ltd.		
		WO 01/32143	05-10-2001	CIPLA Ltd.		
↓		WO 99/56727	11-11-1999	Elan Corp		
		WO 03/000243	01-03-2003	Regents of Univ		
/S.H./		WO 93/02664	02-18-1993	Smith-Kline		

Examiner Signature	/Susanna Hoffer/	Date Considered	10/17/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**200315628-1**

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

**Makarand Gore**

FILING DATE

**April 19, 2004**

GROUP

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

/S.H./	1Q	George Tsu-Ping Woo, "Formulation and Physicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091.
	1R	
	1S	

EXAMINER

/Susanna Hoffer/

DATE CONSIDERED

10/17/2007

**PATENT APPLICATION**

Sheet 1 of 1

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
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	APPLICANT		
	Makarand Gore		
	FILING DATE	GROUP	
	April 19, 2004		

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/S.H./	1A	5,700,317	12-23-1997	Adamic	
/S.H./	1B	6,024,786	01-15-2000	Gore	
/S.H./	1C	6,102,996	08-15-2000	Parazak	
/S.H./	1D	6,432,183 B1	08-13-2002	Kabalnov	
/S.H./	1E	6,602,511 B2	08-05-2003	von Corswant	
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	1G				
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
/S.H./	1L	WO 01/32143	05-10-2001	CIPLA Ltd.		
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

/S.H./	1Q	George Tsu-Ping Woo, "Formulation and Phusicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091.
	1R	
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